

Name: \_\_\_\_\_

1. (1 pt.)

- **Read all material carefully.**
- You may refer to your books, papers, and notes during this test.
- E-books may be used subject to the restrictions noted in class.
- No computer or network access of any kind is allowed (or needed).
- Write, and draw, carefully. Ambiguous or cryptic answers receive zero credit.
- Use class and textbook conventions for notation, algorithmic options, etc.
- There is an one extra-credit question (marked with ★). It is harder than the rest.
- Write your name in the space provided above.

2. (9 pts.) Fill in the blank entries in the following table (**extending it** as needed), indicating the number of runs on each of the five tapes used in a *polyphase merge-sort of order 5*. Row  $n$  of each table summarizes the distribution of runs on the tapes immediately following the  $n$ th merge, with the 0th row summarizing the initial distribution of runs (before any merges).

merge	# runs on tape					
	1	2	3	4	5	6
0	20	6	13	9	4	0
1						
2						
3						

...

3. (15 pts.) Use *merge-based insertions* to insert the keys  
15, 7, 10, 3, 2, 8, 9, 5, 4, 1  
into an initially empty *skew heap*. Then perform three merge-based *deleteMin* operations. Depict the state of the tree *after each operation*.

[additional space for answering the earlier question]

15, 7, 10, 3, 2, 8, 9, 5, 4, 1

4. (15 pts.) Repeat all parts of Question 3 using a *pairing heap* instead of a skew heap. Reminder: Use precisely the textbook's method, and depict the left-to-right and right-to-left phases clearly.

15, 7, 10, 3, 2, 8, 9, 5, 4, 1

[additional space for answering the earlier question]

15, 7, 10, 3, 2, 8, 9, 5, 4, 1

5. (5 pts.) Fill in the following table based on **the textbook's definition and notation** for B-trees,<sup>1</sup> with parameters  $M = 4$  and  $L = 3$ . [Hint: Check the use of  $M$  and  $L$  carefully.]

node type:	leaf	non-leaf root	non-leaf non-root
min. number of keys:			
max. number of keys:			
min. number of children:			
max. number of children:			

6. (5 pts.) **Using the textbook's definition and notation** for B-trees, depict **all** B-trees with parameters  $M = 4$  and  $L = 3$  that contain exactly five records, with keys: 1, 2, 3, 4, 5. Assume that keys within each B-tree node are always stored in sorted order. Explain briefly why there are no other trees satisfying the requirements.

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<sup>1</sup>Mark Allen Weiss, *Data Structures and Problem Solving Using Java*, 4th edition (Addison-Wesley, 2010), §19.8, p. 756.

7. (10 pts.) Repeat Question 6 for the eight records, with keys  $1, 2, \dots, 8$ . Explain briefly why there are no other trees satisfying the requirements.

[additional space for answering the earlier question]



8. (10 pts.) \* A *comparison-sorting* method is a sorting method that does not use any properties of the input data other than the fact that a pair of items can be compared to determine which one is smaller. Provide methods to comparison-sort  $n$  items using the fewest comparisons, for each  $n = 5, 6, 7$ . Explain your methods clearly, and prove that no method can sort using fewer comparisons. Note that this question concerns the **precise number** of comparisons (e.g., 10, 17) not asymptotics (e.g.,  $O(n \log n)$ ,  $O(n^2)$ ).